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REGULATORY COMPLIANCE

Start Date:	22/03/2027	**End Date:**	26/03/2027
Categories:	HSSE	**Venues:**	London
Formats:	In Person	**Instructors:**	

OVERVIEW

This program provides a comprehensive understanding of legal frameworks, compliance systems, risk assessments, and governance strategies necessary to manage regulatory obligations and avoid violations in today’s complex environment.

OBJECTIVES

By the end of this course, participants will be able to: • Understand the purpose and scope of regulatory compliance. • Establish an effective compliance management framework. • Identify compliance risks and implement mitigation controls. • Monitor, audit, and report compliance activities. • Analyze governance’s role in compliance culture. • Understand international compliance standards. • Manage interactions with regulators and audit bodies. • Promote ethics and accountability across the organization.

COURSE OUTLINE

• Foundations of Regulatory Compliance • Legal and Regulatory Frameworks • Compliance Governance and Ethics • Risk-Based Compliance Management • Internal Controls and Monitoring • Reporting and Documentation • Compliance Auditing and Investigations • Dealing with Regulators • Data Protection and Privacy Compliance • Building a Culture of Compliance

TARGET AUDIENCE

Compliance officers, legal advisors, risk managers, auditors, governance professionals, and personnel responsible for regulatory adherence across industries.

METHODOLOGY

Blended approach with lectures, discussions, real-world case studies, scenario workshops, and role-play exercises for practical application.

CONCLUSION

Participants will leave with the tools to manage compliance, mitigate risk, and embed a culture of accountability and ethics within their organizations.

DAILY AGENDA

Day 1: Introduction to Regulatory Compliance

Legal frameworks, key obligations, governance structures, and initial compliance functions.

Day 2: Compliance Risk Management

Risk assessment, mitigation strategies, monitoring tools, and workshops on real scenarios.

Day 3: Audits & Investigations

Internal audit processes, investigation procedures, reporting, and regulator engagement.

Day 4: Digital Compliance & Ethics

Data protection, global privacy regulations, ethical decision-making, and case studies.

Day 5: Building a Compliance Culture

Compliance leadership, best practices, international standards, and final case exercise.

For more information, please contact us:
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